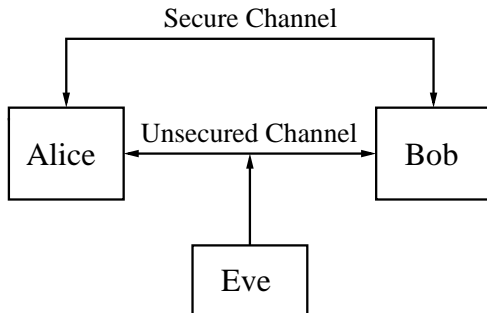


## Part VI

# Public-key cryptography

# Drawbacks with symmetric-key cryptography

**Symmetric-key cryptography:** Communicating parties a priori share some **secret** information.

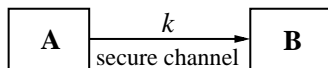


# Key establishment problem

How do Alice and Bob establish the secret key  $k$ ?

**Method 1:** Point-to-point key distribution.

(Alice selects the key and sends it to Bob over a secure channel)



The secure channel could be:

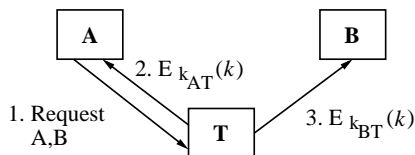
- ▶ A trusted courier.
- ▶ A face-to-face meeting in a dark alley, etc.

This is generally not practical for large-scale applications.

# Key establishment problem

Method 2: Use a Trusted Third Party  $T$ .

- ▶ Each user  $A$  shares a secret key  $k_{AT}$  with  $T$  for a symmetric-key encryption scheme  $E$ .
- ▶ To establish this key,  $A$  must visit  $T$  once.
- ▶  $T$  serves as a key distribution centre (KDC):



1.  $A$  sends  $T$  a request for a key to share with  $B$ .
2.  $T$  selects a session key  $k$ , and encrypts it for  $A$  using  $k_{AT}$ .
3.  $T$  encrypts  $k$  for  $B$  using  $k_{BT}$ .

# Key establishment problem

Drawbacks of using a KDC:

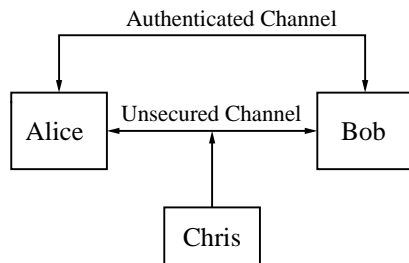
- ▶ The TTP must be unconditionally trusted.
  - ▶ Makes it an attractive target.
- ▶ Requirement for an on-line TTP.
  - ▶ Potential bottleneck.
  - ▶ Critical reliability point.

# Non-Repudiation is Impractical

- ▶ **Non-repudiation**: Preventing an entity from denying previous actions or commitments.
  - ▶ Denying being the source of a message.
- ▶ Symmetric-key techniques can be used to achieve non-repudiation, but typically requires the services of an on-line TTP (e.g., use a message authentication code where each user shares a secret key with the TTP).

# Public-key cryptography

- ▶ **Public-key cryptography**: Communicating parties a priori share some **authenticated** (but non-secret) information.



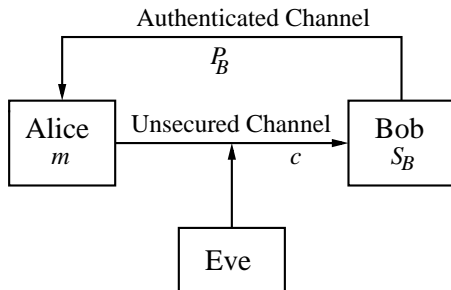
- ▶ Invented by Ralph Merkle, Whitfield Diffie, Martin Hellman in 1975.  
(And in 1970 by researchers at GCHQ.....)

# Key pair generation for public-key crypto

- ▶ Each entity  $A$  does the following:
  1. Generate a key pair  $(P_A, S_A)$ .
  2.  $S_A$  is  $A$ 's **secret key**.
  3.  $P_A$  is  $A$ 's **public key**.
- ▶ **Security requirement**: It should be infeasible for an adversary to recover  $S_A$  from  $P_A$ .



# Public-key encryption



- ▶ To **encrypt** a secret message  $m$  for Bob, Alice does:
  1. Obtain an authentic copy of Bob's public key  $P_B$ .
  2. Compute  $c = E(P_B, m)$ ;  $E$  is the encryption function.
  3. Send  $c$  to Bob.
- ▶ To **decrypt**  $c$ , Bob does:
  1. Compute  $m = D(S_B, c)$ ;  $D$  is the decryption function.

# Public-key vs. symmetric-key

## Advantages of public-key cryptography:

- ▶ No requirement for a secret channel.
- ▶ Each user has only 1 key pair, which simplifies key management.
- ▶ Facilitates the provision of non-repudiation services (with digital signatures).

## Disadvantages of public-key cryptography:

- ▶ Public keys are typically larger than symmetric keys.
- ▶ Public-key schemes are slower than their symmetric-key counterparts.

# Definition of public-key cryptography

**Definition:** A *public-key cryptosystem* consists of:

- ▶  $M$  – the plaintext space,
- ▶  $C$  – the ciphertext space,
- ▶  $K_{\text{pubkey}}$  – the space of public keys,
- ▶  $K_{\text{privkey}}$  – the space of private keys,
- ▶ A **randomized** algorithm  $\mathcal{G}: \{\mathbb{1}^\ell : \ell \in \mathbb{N}\} \rightarrow K_{\text{pubkey}} \times K_{\text{privkey}}$ , called a *key-generation function*,
- ▶ An *encryption* algorithm  $\mathcal{E}: K_{\text{pubkey}} \times M \rightarrow C$ ,
- ▶ A *decryption* algorithm  $\mathcal{D}: K_{\text{privkey}} \times C \rightarrow M$ .

**Correctness requirement:** For a given key pair  $(k_{\text{pubkey}}, k_{\text{privkey}})$  produced by  $\mathcal{G}$ ,

$$\mathcal{D}(k_{\text{privkey}}, \mathcal{E}(k_{\text{pubkey}}, m)) = m$$

for all  $m \in M$ .

# The RSA encryption scheme

- ▶ Ron Rivest, Adi Shamir, and Leonard Adleman, “A Method for Obtaining Digital Signatures and Public-Key Cryptosystems,” Communications of the ACM **21** (2): pp. 120–126, 1978.
- ▶ Also invented by Clifford Cocks in 1973 (GCHQ).
- ▶ Key generation:
  - ▶ Choose random primes  $p$  and  $q$  with  $\log_2 p \approx \log_2 q \approx 2^{\ell/2}$ .
  - ▶ Compute  $n = pq$  and  $\phi(n) = (p - 1)(q - 1)$ .
  - ▶ Choose an integer  $e$  with  $1 < e < \phi(n)$  and  $\gcd(e, \phi(n)) = 1$ .
  - ▶ Compute  $d = e^{-1} \bmod \phi(n)$ . The public key is  $(n, e)$  and the private key is  $(n, d)$ .
- ▶ Message space:  
 $M = C = \mathbb{Z}_n^* = \{m \in \mathbb{Z} : 0 \leq m < n \text{ and } \gcd(m, n) = 1\}$ .
- ▶ Encryption:  $\mathcal{E}((n, e), m) = m^e \bmod n$ .
- ▶ Decryption:  $\mathcal{D}((n, d), c) = c^d \bmod n$ .

# Modular exponentiation

To calculate  $m^e \bmod n$ , use the square and multiply algorithm.

## Example

- ▶ Let  $n = 851$ ,  $e = 631$ ,  $m = 2$ . Write  $e = 631$  in binary:

$$631 = 2^9 + 2^6 + 2^5 + 2^4 + 2^2 + 2^1 + 2^0.$$

- ▶ Compute successive powers of  $m = 2$  modulo  $n$ :

$$2 \equiv 2 \pmod{851}$$

$$2^2 \equiv 4 \pmod{851}$$

$$2^{2^2} \equiv 16 \pmod{851}$$

$$2^{2^3} \equiv 256 \pmod{851}$$

$$2^{2^4} \equiv 9 \pmod{851}$$

$$2^{2^5} \equiv 81 \pmod{851}$$

$$2^{2^6} \equiv 604 \pmod{851}$$

$$2^{2^7} \equiv 588 \pmod{851}$$

$$2^{2^8} \equiv 238 \pmod{851}$$

$$2^{2^9} \equiv 478 \pmod{851}.$$

- ▶ Multiply:

$$2^{631} = 2^{2^9} \cdot 2^{2^6} \cdot 2^{2^5} \cdot 2^{2^4} \cdot 2^{2^2} \cdot 2^{2^1} \cdot 2^{2^0}$$

$$\equiv 478 \cdot 604 \cdot 81 \cdot 9 \cdot 16 \cdot 4 \cdot 2 \equiv 775 \pmod{851}.$$

# A framework for security definitions

Recall that for a symmetric-key encryption scheme, security depends on three questions:

1. How does the adversary interact with the communicating parties?
  2. What are the computational powers of the adversary?
  3. What is the adversary's goal?
- ▶ **Basic assumption (Kerckhoffs's principle, Shannon's maxim):**  
The adversary knows everything about the algorithm, except the secret key  $k$ . (Avoid security by obscurity!!)

The same principles also apply to public-key cryptography.

# 1. Adversary's Interaction

Possible methods of attacks against a public-key cryptosystem:

- ▶ **Passive attacks:**
  - ▶ **Key-only attack:** The adversary knows the public key(s). Equivalent to a **chosen-plaintext attack**, since we always assume the adversary knows the public key(s).
  - ▶ **Ciphertext-only attack:** The adversary knows a public key and some ciphertext(s) encrypted under the public key.
- ▶ **Active attacks:**
  - ▶ **Chosen-ciphertext attack:** The adversary can choose some ciphertext(s) and obtain the corresponding plaintext(s).
  - ▶ **Adaptive chosen-ciphertext attack:** Same as above, except the adversary can also choose which ciphertexts to query, based on the results of previous queries.

### 3. Adversary's goal

Possible goals when attacking a public-key cryptosystem:

- ▶ **Total break:** Determine the private key, or determine information equivalent to the private key.
- ▶ **Decrypt a given ciphertext:** Adversary is given a ciphertext  $c$  and decrypts it (without querying for the decryption of  $c$ ).
- ▶ **Decrypt a chosen ciphertext:** Adversary chooses a ciphertext  $c$  and decrypts it (without querying for the decryption of  $c$ ).
- ▶ **Learn some partial information about a message:** Adversary is given/chooses a ciphertext  $c$  and learns some partial information about the decryption of  $c$  (without querying for the decryption of  $c$ ).



# Chosen ciphertext security

## Definition

A public-key cryptosystem is said to be **secure** if it is semantically secure against an adaptive chosen-ciphertext attack by a computationally bounded adversary.

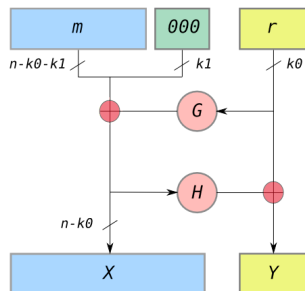
- ▶ **Adaptive chosen-ciphertext attack**: The adversary can choose which ciphertexts to query, based on the results of previous queries.

## (Im)possibility of semantic security

A deterministic encryption algorithm (such as RSA) cannot yield semantic security.

- ▶ Given a ciphertext  $c$  and a public key, choose  $m$  at random and compute  $c' = E_{\text{pubkey}}(m)$ .
- ▶ If  $c = c'$  then we know the plaintext was  $m$ .
- ▶ If  $c \neq c'$  then we know the plaintext was **not**  $m$ .
- ▶ Either way, we have learned information about the plaintext.

# Optimal Asymmetric Encryption Padding



- ▶ Public key  $(n, e)$
- ▶ Private key  $(n, d)$
- ▶  $k, k_0, k_1 \in \mathbb{N}$  with  $k + k_0 + k_1 = \log_2 n$
- ▶ Hash function  
 $G: \{0, 1\}^{k_0} \rightarrow \{0, 1\}^{k+k_1}$
- ▶ Hash function  
 $H: \{0, 1\}^{k+k_1} \rightarrow \{0, 1\}^{k_0}$
- ▶ Encryption: To encrypt  $m \in \{0, 1\}^k$ :
  - ▶  $s \leftarrow (m \parallel 0^{k_1}) \oplus G(r)$
  - ▶  $\mathcal{E}(m) \leftarrow (s \parallel H(s) \oplus r)^e \bmod n$ .
- ▶ Decryption:
  - ▶  $s \parallel t \leftarrow c^d \bmod n$
  - ▶  $m \parallel 0^{k_1} \leftarrow H(s) \oplus t$
  - ▶ Check that  $H(s) \oplus t$  ends with  $0^{k_1}$ !
  - ▶ If so, output  $\mathcal{D}(c) = m$ ; otherwise, return error.

## Part VII

# Digital signatures

# Definition of public-key cryptography

Recall that a *public-key cryptosystem* consists of:

- ▶  $M$  – the plaintext space,
- ▶  $C$  – the ciphertext space,
- ▶  $K_{\text{pubkey}}$  – the space of public keys,
- ▶  $K_{\text{privkey}}$  – the space of private keys,
- ▶ A **randomized** algorithm  $\mathcal{G}: \{\mathbb{1}^\ell : \ell \in \mathbb{N}\} \rightarrow K_{\text{pubkey}} \times K_{\text{privkey}}$ , called a *key-generation function*,
- ▶ An *encryption* algorithm  $\mathcal{E}: K_{\text{pubkey}} \times M \rightarrow C$ ,
- ▶ A *decryption* algorithm  $\mathcal{D}: K_{\text{privkey}} \times C \rightarrow M$ .

**Correctness requirement:** For a given key pair  $(k_{\text{pubkey}}, k_{\text{privkey}})$  produced by  $\mathcal{G}$ ,

$$\mathcal{D}(k_{\text{privkey}}, \mathcal{E}(k_{\text{pubkey}}, m)) = m$$

for all  $m \in M$ .

# Motivation for digital signatures

- ▶ In the definition of a public-key cryptosystem, decryption must be a left inverse of encryption:

$$\mathcal{D}(k_{\text{privkey}}, \mathcal{E}(k_{\text{pubkey}}, m)) = m.$$

- ▶ There is no corresponding requirement that decryption be a **right** inverse of encryption:

$$\mathcal{E}(k_{\text{pubkey}}, \mathcal{D}(k_{\text{privkey}}, c)) \stackrel{?}{=} c.$$

- ▶ In some cases (e.g. plain RSA without padding), decryption is in fact a right inverse of encryption.
- ▶ In other cases (e.g. ElGamal), decryption is not a right inverse of encryption.
- ▶ When decryption is a right inverse of encryption, we get a useful construction: **digital signatures**

# RSA Signature Scheme

Ron Rivest, Adi Shamir, and Leonard Adleman, "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems," Communications of the ACM **21** (2): pp. 120–126, 1978.

**Key generation:** Same as in RSA encryption.

**Signature generation:** To sign a message  $m$ :

1. Compute  $s = m^d \bmod n$ .
2. The signature on  $m$  is  $s$ .

**Signature verification:** To verify a signature  $s$  on a message  $m$ :

1. Obtain an authentic copy of the public key  $(n, e)$ .
2. Compute  $s^e \bmod n$
3. Accept  $(m, s)$  if and only if  $s^e \bmod n = m$ .

# Definition of digital signatures

**Definition:** A *digital signature scheme* consists of:

- ▶  $M$  – the plaintext space,
- ▶  $S$  – the signature space,
- ▶  $K_{\text{pubkey}}$  – the space of public keys,
- ▶  $K_{\text{privkey}}$  – the space of private keys,
- ▶ A **randomized** algorithm  $\mathcal{G}: \{\mathbb{1}^\ell : \ell \in \mathbb{N}\} \rightarrow K_{\text{pubkey}} \times K_{\text{privkey}}$ , called a *key-generation function*,
- ▶ A *signing* algorithm  $\mathcal{S}: K_{\text{privkey}} \times M \rightarrow S$ ,
- ▶ A *verification* algorithm  $\mathcal{V}: K_{\text{pubkey}} \times M \times S \rightarrow \{\mathbf{true}, \mathbf{false}\}$ .
- ▶ A *valid* signature is one which verifies. An *invalid* signature is one which does not verify.

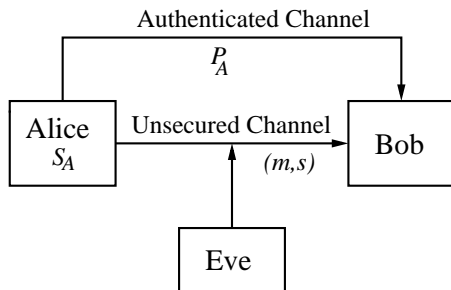
**Correctness requirement:** For a given key pair  $(k_{\text{pubkey}}, k_{\text{privkey}})$  produced by  $\mathcal{G}$ ,

$$\mathcal{V}(k_{\text{pubkey}}, m, \mathcal{S}(k_{\text{privkey}}, m)) = \mathbf{true}$$

for all  $m \in M$ .



# Digital signatures



- ▶ To **sign** a message  $m$ , Alice does:
  1. Compute  $s = \text{Sign}(S_A, m)$ .
  2. Send  $m$  and  $s$  to Bob.
- ▶ To **verify** Alice's signature  $s$  on  $m$ , Bob does:
  1. Obtain an authentic copy of Alice's public key  $P_A$ .
  2. Accept if  $\text{Verify}(P_A, m, s) = \text{Accept}$ .

# Basic security requirements

Goals of a digital signature scheme:

- ▶ *Authenticate* the origin of a message.
- ▶ Guarantee the *integrity* of a message.
- ▶ Basic security requirements:
  - ▶ It should be infeasible to deduce the private key from the public key.
  - ▶ It should be infeasible to generate valid signatures without the private key.

# Goals of the Adversary

1. **Total break:**  $E$  recovers  $A$ 's private key, or a method for systematically forging  $A$ 's signatures (i.e.,  $E$  can compute  $A$ 's signature for arbitrary messages).
2. **Selective forgery:**  $E$  forges  $A$ 's signature for a selected subset of messages.
3. **Existential forgery:**  $E$  forges  $A$ 's signature for a single message;  $E$  may not have any control over the content or structure of this message.

# Attack Model

Types of attacks  $E$  can launch:

1. **Key-only attack:** The only information  $E$  has is  $A$ 's public key.
2. **Known-message attack:**  $E$  knows some message/signature pairs.
3. **Chosen-message attack:**  $E$  has access to a signing oracle which it can use to obtain  $A$ 's signatures on some messages of its choosing.

# Security Definition

**Definition:** A signature scheme is said to be **secure** if it is existentially unforgeable by a computationally bounded adversary who launches a chosen-message attack.

**Note:** The adversary has access to a signing oracle. Its goal is to compute a single valid message/signature pair for any message that was not previously given to the signing oracle.

# Existential forgery against RSA

Even if the RSA problem is intractable, the basic RSA scheme is still insecure. Here is an existential forgery under a key-only attack:

- ▶ Select  $s \in \mathbb{Z}_n$  with  $\gcd(s, n) = 1$ .
- ▶ Compute  $s^e \bmod n$ .
- ▶ Set  $m = s^e \bmod n$ .
- ▶ Then  $s$  is a valid signature for  $m$ .

Here is a selective forgery under a chosen message attack. Given  $m \in \mathbb{Z}_n$  with  $\gcd(m, n) = 1$ :

- ▶ Compute  $m' = 2^e \cdot m \bmod n$
- ▶ Request the signature  $s'$  of  $m'$
- ▶ Compute  $s = s'/2 \bmod n$ .
- ▶ Then  $s$  is a valid signature for  $m$ .

# Full Domain Hash RSA (RSA-FDH)

Let  $H: \{0, 1\}^* \rightarrow \mathbb{Z}_n$  be a hash function.

**Key generation:** Same as in RSA.

**Signature generation:** To sign a message  $m \in \{0, 1\}^*$ :

1. Compute  $s = H(m)^d \bmod n$ .
2. The signature on  $m$  is  $s$ .

**Signature verification:** To verify a signature  $s$  on a message  $m$ :

1. Obtain an authentic copy of the public key  $(n, e)$ .
2. Compute  $s^e \bmod n$
3. Accept  $(m, s)$  if and only if  $s^e \bmod n = H(m)$ .

# Security of RSA-FDH

**Theorem** (Bellare & Rogaway, 1996): If the RSA problem is intractable and  $H$  is a random function, then RSA-FDH is a secure signature scheme.

**Note:** This theorem does NOT always hold if  $H$  is not a random function!



# Part VIII

## Side-channel attacks

# Cryptography as a black box

Up to this point:

- ▶ We have treated cryptography as a black box.
- ▶ We assume the attacker can observe and/or manipulate inputs and outputs.
- ▶ We do **NOT** assume the attacker can view or manipulate intermediate results.
- ▶ We have formal definitions of security, and we can prove security under reasonable mathematical assumptions.

# Problems with the black-box viewpoint

For a cryptographer:

Formal security model + security proof = complete victory

And yet . . .

- ▶ In practice, things still get broken.
- ▶ Assumptions in the security model often do not hold in reality.
- ▶ Attackers always exploit the weakest link. That weak link is almost never (black-box) crypto.
- ▶ In many systems, implementation is the weak link, and it is what gets attacked.

# Overview of side channel attacks

A **side-channel attack** is some attack that involves observing and/or manipulating intermediate results in a cryptographic calculation.

How does one observe internal state information?

- ▶ Timing information: time how long a computation takes.
- ▶ Power consumption: monitor the amount of power used.
- ▶ Electromagnetic radiation: monitor the noise leaked by a hardware circuit.
- ▶ Acoustic information: record sound with a microphone.
- ▶ Other: cache-miss rate, in-circuit emulators, etc.

How does one manipulate internal state information?

- ▶ Fault injection
- ▶ Row hammer

# Types of side-channel attacks

- ▶ Passive attacks: Attacker can manipulate inputs, and observe intermediate results.
- ▶ Active attacks: Attacker can manipulate inputs, and manipulate intermediate results.
- ▶ Fault attacks: Attacker can set input values and/or intermediate result values to invalid values.
- ▶ Physical attacks: Attacker can take apart the hardware, dunk it in an acid bath, etc.

# Simple Power Analysis

Paul Kocher, "Timing attacks on implementations of Diffie-Hellman, RSA, DSS, and other systems", Crypto '96.

- ▶ Many smart cards contain an RSA private key which is used to generate RSA signatures to authenticate the card.
- ▶ A counterfeiter is not supposed to be able to extract the RSA private key from the card.
- ▶ Suppose the card utilizes some measurable resource, in some data-dependent way, e.g.:
  - ▶ Amount of time it takes to perform a signature.
  - ▶ Amount of power consumed during the signature process, as a function of time.
- ▶ By measuring resource consumption, it is possible to determine the value of the private key.

# Square-and-multiply algorithm

Recall the square-and-multiply algorithm:

---

**Algorithm 1** Algorithm for computing  $m^d \bmod n$ .

---

```
1: if  $d = 0$  then  
2:   output 1  
3: else if  $d$  is even then  
4:   output  $(m^{\frac{d}{2}} \bmod n)^2 \bmod n$   
5: else if  $d$  is odd then  
6:   output  $(m \cdot (m^{d-1} \bmod n)) \bmod n$ 
```

---

- ▶ Suppose that **squaring** mod  $n$  consumes different resources from **(non-squaring) multiplication** mod  $n$ .
- ▶ By measuring resource consumption, one can determine individual bits in  $d$ .
- ▶ A similar attack works against the double-and-add algorithm on elliptic curves.

# Attack example

- ▶ Suppose  $d = 26$  (in binary:  $26 = 11010_2$ ).

- ▶ Then

$$m^{26} = (m \times ((m \times (1 \times m)^2)^2)^2)^2$$

- ▶ The computation proceeds from the inside out:

$$M \ S \ M \ S \ S \ M \ S = \underbrace{M \ S}_1 \ \underbrace{M \ S}_1 \ \underbrace{S}_0 \ \underbrace{M}_1 \ \underbrace{S}_0$$

- ▶ Similarly, in elliptic curve cryptography:

$$26 \cdot P = 2 \cdot (P + 2 \cdot (2 \cdot (P + 2 \cdot (0 + P))))$$

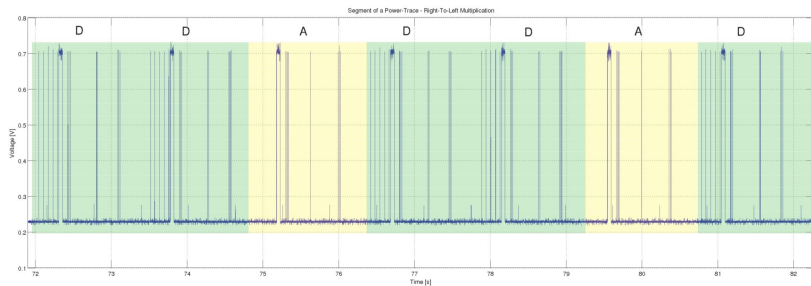
and the order of operations is:

$$A \ D \ A \ D \ D \ A \ D = \underbrace{A \ D}_1 \ \underbrace{A \ D}_1 \ \underbrace{D}_0 \ \underbrace{A}_1 \ \underbrace{D}_0$$





# Measured power traces



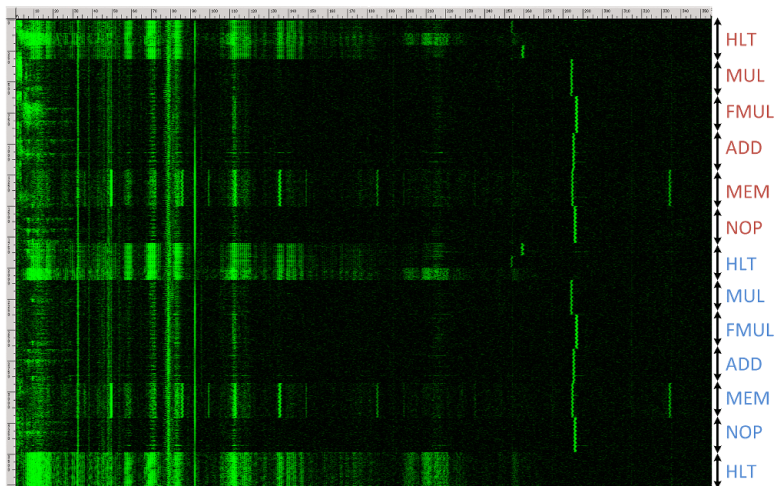
## Acoustic side-channels

D. Genkin, A. Shamir, and E. Tromer, “RSA Key Extraction via Low-Bandwidth Acoustic Cryptanalysis,” CRYPTO 2014.

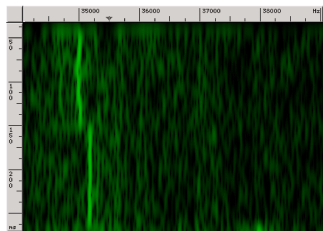
- ▶ *Audio recordings* are a potential source of side-channel information!
- ▶ Using its built-in microphone, a mobile phone placed next to a laptop can determine a secret key used in a computation on the laptop.



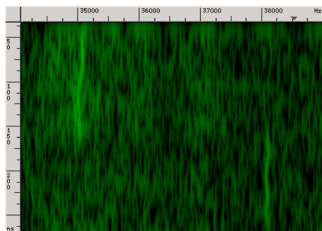
# Acoustic traces



# Acoustic traces



(a) attacked bit is zero



(b) attacked bit is one

# Cache-based side-channels

C. Percival, “Cache-missing for fun and profit,”

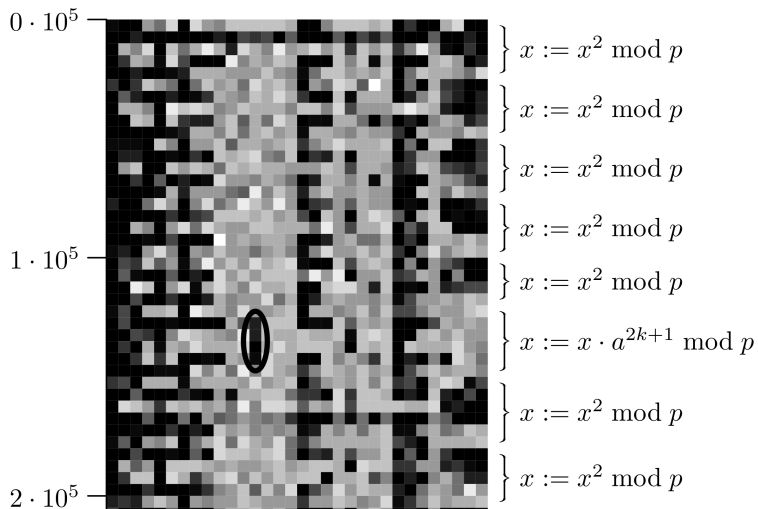
<http://www.daemonology.net/papers/htt.pdf>

- ▶ A user controlling one core of a multi-core processor can spy on processes being executed on the other core, using cache hit rate as a side channel.

If \$(BIG\_COMPANY) hosts their servers on Amazon:

- ▶ Buy an account on Amazon.
- ▶ Repeat (and/or wait) until your server lands on another CPU core on the same machine as \$(BIG\_COMPANY)'s servers.
- ▶ Steal their keys.

# Cache trace



# Side-channel attack countermeasures

The basic idea is to make all calculations consume constant resources independent of the input data. Some options include:

- ▶ **Unified formulas:** Use identical formulas for addition and doubling, or for squaring and multiplication.
- ▶ **Dummy operations:** Insert extra useless operations to make the calculation uniform (and discard the result).
- ▶ **Double and always add:** Perform the same operations independent of data values.